# **EXHIBIT RR**



# **Transcript of 190109\_1116**

Case: Caryn Devins Strickland -v- United States of America, et al.

**Planet Depos** 

**Phone:** 888-433-3767 **Fax:** 888-503-3767

Email: transcripts@planetdepos.com

www.planetdepos.com

```
1
2
3
4
5
6
                           CONVERSATION
                           "190109 1116"
8
9
       IN RE: CARYN DEVINS STRICKLAND V. UNITED STATES OF
10
                          AMERICA, ET AL.
11
12
13
14
15
16
17
18
19
    Job No.: 479402
20
21
    Pages: 1 - 36
    Transcribed by: Christian Naaden
22
```

1	MS. STRICKLAND: But yeah, So I I really
2	appreciate you taking the time to talk to me. I know
3	that I'm sure you're very busy. So I just appreciate
4	your time and all the effort that you've put into this.
5	And I thought it would just be good to maybe
6	have a conversation over the phone, because with the
7	the mediator stuff, I guess, if you could just explain
8	a little more how it would work in terms of the timing
9	of it, and just kind of mechanically how how the
10	process would work, or kind of how what you see. I
11	think that would be very helpful.
12	MS. STRICKLAND: Okay. Sure. And and Caryn,
13	I have Kim Llewellyn here. So she's my HR
14	administrator. And I asked her to sit in to kind of
15	help kind of explain, maybe fill in some of the blanks
16	mechanically of how this would work, and what I'm
17	thinking about.
18	MS. STRICKLAND: Okay. Hi, Kim.
19	MS. LLEWELLYN: Hi, Caryn.
20	MR. ISHIDA: So, Caryn, the reason the
21	reason why I wanted to kind of propose this unusual
22	step is because it it was it was I I I

1	was trying to think of a way to kind of get this going
2	and get this moving along. Because frankly, at this
3	point, I'm not quite sure where we're where the
4	where we're at, where the parties are at.
5	MS. STRICKLAND: Mm-hmm.
6	MR. ISHIDA: Uh-huh. And so, let me let me
7	let me let me kind of kind of explain where
8	we're at in terms of the process, at least as far as I
9	I I can get. So again, we have we have two
10	proceedings, related but separate. So we have the
11	Chapter 9 proceeding, the report of wrongful conduct.
12	MS. STRICKLAND: Right.
13	MR. ISHIDA: And then we have the Chapter 10
14	proceeding which is the EDD piece of this Moss the
	proceeding, which is the EDR piece of this. Now, the
15	Chapter 9 piece is is really it was, you know
15 16	
	Chapter 9 piece is is really it was, you know
16	Chapter 9 piece is is really it was, you know it was initiated from your concerns. But at this point,
16 17	Chapter 9 piece is is really it was, you know it was initiated from your concerns. But at this point, it has be it will become an issue between the
16 17 18	Chapter 9 piece is is really it was, you know it was initiated from your concerns. But at this point, it has be it will become an issue between the supervisor and JP [ph], or the Circuit and JP. As to,
16 17 18 19	Chapter 9 piece is is really it was, you know it was initiated from your concerns. But at this point, it has be it will become an issue between the supervisor and JP [ph], or the Circuit and JP. As to, you know, what the investigator concludes about JP's
16 17 18 19 20	Chapter 9 piece is is really it was, you know it was initiated from your concerns. But at this point, it has be it will become an issue between the supervisor and JP [ph], or the Circuit and JP. As to, you know, what the investigator concludes about JP's conduct.

1	Beam. I plan to call her later to find out where she's
2	at. But I have not gotten anything from her. So we
3	really need her report to proceed with Chapter 9,
4	because we really need a determination as to, you know,
5	wrongful conduct here.
6	MS. STRICKLAND: Right.
7	MR. ISHIDA: But as as for the Chapter 10
8	piece of this, the the investigator's report will
9	still be very helpful. But we're not tied to it the
10	same way we are Chapter 9, in that we can still try to
11	resolve the issues that you have with the employing
12	office. And so that's why I thought, okay, while we
13	wait for the report, which will be helpful, we can
14	still proceed to try to resolve outstanding issues that
15	you have.
16	Now, the reason why I thought a mediator would
17	be helpful is I think the mediator could help with,
18	okay, you are making X demands. And then they could
19	take that to the employing office and say, okay, Caryn
20	has asked for this, what's your position on this?
21	You know, I think they could facilitate a
22	discussion, and hopefully, fa facilitate settlement

1	negotiations in a way that I don't think has happened,
2	or or seems to have slowed down at this point.
3	MS. STRICKLAND: Mm-hmm.
4	MR. ISHIDA: And and part of, I think, my
5	struggle has been that I'm I I'm still not sure
6	what it is that you're asking. So we ta we we
7	had a lot of discussion about it. But I think what I
8	was hoping to get was that list of demands, those
9	that list that I had asked you to do about specific,
10	particular, what is it that you want?
11	That I could take to the mediator oh, I'm -
12	- I'm sorry. That I could take to the employing office.
13	And then and then at some point before the holidays,
14	you would introduce a proposal about perhaps taking a
15	transfer or a move from your current office to another
16	office.
17	MS. STRICKLAND: Mm-hmm.
18	MR. ISHIDA: I said, okay, well, that's fine.
19	You need to let me know if that's the route you want to
20	go down. And I don't know where we are with that, which
21	could obviously change the direction of this whole
22	process.

1	So I think a mediator could help kind of bring
2	into focus where the parties where both parties
3	stand. And that's why I had thought a mediator might be
4	helpful. Now, there's another reason for thinking about
5	mediation too. And that is, the counseling period is
6	scheduled to end next week.
7	MS. STRICKLAND: Mm-hmm.
8	MR. ISHIDA: And so the if if
9	counseling is unsuccessful, then I will declare an end
10	to counseling. And then the next step will be up to
11	you. And you can certainly, in the EDR process, request
12	mediation. So by by thinking about me a mediator
13	now, you know, we could we could maybe, if that's
14	the road you want to take, we could have we could
15	already start the mediation process.
16	But anyway, these are these are thoughts
17	that I had. And that's why I wanted to have a
18	discussion with you about what is it that you wanted to
19	do? Or what do you want to do next? Or what are you
20	thinking about?
21	MS. STRICKLAND: Okay. Well, um, thank you for
22	all of that. So I I guess, for me, what I'm a little

```
1
    quess what -- well, let me -- let me -- before I go too
    far down that road. What -- what claims are you
2
3
    concerned that won't be included, that we aren't
4
    talking about now?
5
             MS. STRICKLAND: Well, I -- I think -- well --
6
             MR. STRICKLAND:
                               I'm just going to jump in for
7
    a second. I -- I think maybe a different way of putting
8
    it is, if -- if Caryn were to file a request for
9
    mediation, it would include or reassert the request for
10
    disqualification of Tony based off his retaliation
11
    against her.
12
             And kind of going back, I remember part of the
13
    discussion was that Heather's investigation was not
14
    just for the purposes of wrongful conduct, but it's
15
    kind of a preliminary investigation for Chapter 10 as
16
    well. Because that --
17
             MS. STRICKLAND: Right.
18
             MR. STRICKLAND: -- was going to be what was
19
    used to determine whether Tony was disqualified or not.
20
    So it -- it would be a shame, I quess, to kind of get a
21
    couple of weeks down the road, and, you know, right
22
    back at the same point in time. If Heather's report's
```

1 not done, no determination can be made on the 2 disqualification. And it's essentially, mediation would 3 be over pretty quick at that point, I think. 4 MR. ISHIDA: Well, but -- but I'm not sure 5 you're giving up that right. 6 MR. STRICKLAND: Well, it's a -- it's a timing 7 right. 8 MS. STRICKLAND: I guess --9 MR. STRICKLAND: I mean, wo- -- would -- would 10 it just be a new status quo of -- of nothing really 11 happening until Heather's report comes out? 12 I mean, I quess to put it MS. STRICKLAND: 13 another way, what I had envisioned, and it sounds like 14 it may be a little bit different from what you had 15 envisioned, but my thought was, to avoid the -- some of 16 these complicated procedural issues, why not agree to 17 bring in the mediator as part of counseling, and just 18 put a pause on it? 19 You know, so that none of those other things 20 have to be decided. And we could agree that, I mean, if Tony wants to sit on the other table -- or the other 21 22 end of the table, fine. But that so long as I wouldn't

```
1
    be waiving my right to have that request for
2
    disqualification decided in the future.
3
             That's kind of maybe where I kind of thought
4
    that it was going. Because then we can kind of have
5
    everything still on the table. We can try to negotiate
6
    a resolution without continuing to escalate it into a
7
    more and more formal situation, where there's basically
8
    fewer and fewer opportunities to resolve it prior to a
9
    final hearing. But --
10
             MR. TSHTDA:
                          Well --
11
             MS. STRICKLAND: -- that may not --
12
                          Well, I -- I get where you're --
             MR. ISHIDA:
13
       get where you're coming from, Caryn. And I -- I
14
    guess, you know, one of the things that concerned Judge
15
    Gregory, and -- and I -- and I don't want to speak for
16
    him, and I can't speak for him. So this is just my --
17
    this is just my perception. But I think there was a
18
    concern on his part about why is this taking so long?
19
    And why is this -- you know, why -- why is this not
20
    moving? And --
                              Mm-hmm.
21
             MS. STRICKLAND:
22
             MR. ISHIDA: -- while he -- he understood your
```

1 request for an extension of the counseling period, he 2 didn't grant in -- in completely. I mean, I think you 3 wanted an extension until the investigation report was 4 completed. And I -- and I'm guessing he felt that that 5 was just -- it would just prolong this whole process. 6 And -- and -- and he was thinking about 7 fairness to all sides, that we should keep this moving. 8 And so I think that's part of his thinking for granting 9 only a 45 day extension. Now, the proposal you're 10 raising, okay, I mean, I -- I can see the logic in 11 that. 12 But I think what would have to happen then is 13 you'd have to go back to Judge Gregory with another request to extend counseling, because according to his 14 15 order, counseling ends on the 14th. 16 MR. STRICKLAND: And -- and that may be the --17 the way to do this. I -- I don't know. I -- it would be 18 something we'd have to think about. But yeah. It's kind 19 of a chicken or an egg type question in my mind. 20 Because, yes. Counseling has taken a long time. But it 21 also appears that the investigation has taken a really 22 long time.

1 Because it's my understanding that, you know, Heather did the initial draft of -- of her report, and 2 3 it was sent back to her. But the investigation has not 4 been reopened. So -- so no new witnesses are being 5 interviewed or anything like that. And it seems like if my memory is corre- --6 7 correct, she had basically said before that her 8 recommendations were already typed up, she had just not 9 included them because of concerns about length. You 10 know, and -- but now, it seems like it's six, seven 11 weeks down the road, and the report's still not done. 12 And --13 MR. ISHIDA: Well, I think -- I think part of 14 that was, I'm -- I'm quessing the holidays con-15 -- contributed to that. And -- and I -- I don't know --I don't know if she -- I don't know if it's accurate to 16 17 say that her recommendations were completed. I think when we had the discussion, Heather 18 19 had expressed to me her thoughts. But I don't know --20 you -- you know, the -- you know, once you start 21 writing something down, you know, you may have -- you 22 may have thoughts in mind about how you want to

1 proceed. Or, you know -- but when you start writing it 2 down, then it may become more complicated. 3 Now, I don't know if that's what's happening 4 in this case. Which is -- which is why I'm going to 5 reach out to Heather to find out where she's at. But I 6 could see where, as she's writing this out, she could 7 come to the conclusion that, hey, this is a little more 8 complicated than I initially thought, which is why it's 9 taking longer. 10 And that's part of the other thing about the 11 delay was, I -- I wouldn't entirely put it on Heather's 12 shoulders either. I think she had expressed to me that 13 there were -- she -- she was delayed because of 14 different things that happened where -- so she could 15 not start her investigation at the time that I had 16 asked her to do them. 17 MR. STRICKLAND: And I'm not -- I'm not --18 MR. ISHIDA: But all that to say -- but all 19 that to say, I mean, you know, it's -- it's -- it's --20 it would still be helpful to have her report. But I 21 think it's also helpful to, you know -- to -- to try to 22 -- I mean, I -- I -- I think at the end of the day, we

1 -- we just want to -- to make sure this was resolved in 2 a fair and satisfactory way for everybody. And I think 3 this is just -- let's just see what we can do to -- to 4 -- to move towards that. MR. STRICKLAND: I think that's -- and I agree 5 6 with that. And I -- I don't want to come off in the 7 wrong way. I'm not putting this all on Heather or 8 anything else like that. I just -- I -- I actually, 9 truly believe that this all is very complicated, and time intensive. 10 11 But, you know, i- -- if you go to the next 12 stage in the process, and I agree with Caryn, I think 13 it's an escalation under the rules of kind of how this 14 goes. Because you're -- you're running out of ground, 15 or running out of options of what you can do. Is that, 16 you get into the situation where a mediator is 17 involved. 18 But it's still -- like, even if the 19 communication is indirect, it's Ca- -- Caryn having to 20 communicate with Tony, who she has clearly identified 21 in her filings as somebody who retaliated against her. 22 Which, you know, I interpret just quite simply to mean

1 that Tony found out about what his first assistant was 2 doing. And then pretty much started, you know, 3 essentially punishing Caryn for having bra- -- brought 4 it up. 5 And it -- it makes it difficult, in my mind, 6 how you continue to negotiate with somebody like that 7 without some kind of determination on whether that's 8 accurate or not. And that's what I thought Heather's 9 report was supposed to do, part of it. But, you know, 10 and I agree. There were inconsistent things said about, 11 like, you know, whether retaliation was actually within 12 the scope of the work she did, and everything else. So 13 14 MS. STRICKLAND: The other thing that I would 15 mention is that, in terms of what I want, or what's 16 going to come out of this, some of that is really hard 17 for me to know without knowing what the outcome of the 18 report would be, or the recommendations. 19 Because, unfortunately, my feeling is that 20 earlier on, this whole situation probably could have been settled fairly easily. There might have been 21 22 problems in the future or whatever. But because it's

```
1
    kind of dragged on so long without problems being
2
    addressed, I don't know that it's --
3
             And I know I've tried to articulate this in
4
    the past, maybe not very effectively. But it's hard for
5
    me to really know, like -- basically, put very simply,
6
    unless there -- there have to be changes in the office
7
    outside of -- there's a cultural problem there.
8
             And so it doesn't feel like a one-sided thing
    for me. And -- and what I -- the decisions that I make
9
10
    in terms of, do I stay there, or do I find another
11
    opportunity? In my mind, actually, depends somewhat --
12
    at least somewhat on the outcome of Chapter 9. So it --
13
    it's difficult for me, because I feel like there's a
14
    lot of uncertainty still surrounding all of this.
15
             But, you know, at the end of the day -- yeah.
    I -- I want -- I think we all want to settle it, like
16
17
    you said, in a satisfactory way.
                          Well, and I -- I guess -- I
18
             MR. ISHIDA:
19
    quess, Caryn, that -- that's -- I mean, I -- I -- I
20
    think that's what everybody wants. I think -- you know,
21
    I think that's what Tony and the office wants, and I --
22
    and that's what you want. I -- I certainly want what's
```

1 best for everybody here. 2 And that's why the mediator idea, I thought, 3 could -- could, you know, help bring the parties 4 together, and help bridge some of the gaps. Not having 5 the report complicates it a little. But I think we can 6 make progress on -- on working towards a resolution. 7 And I don't see us making any real -- real significant 8 progress now. 9 So it's really just kind of an attempt to, 10 okay, what can we do while we're waiting for the report 11 to move this forward? Now, I will -- I will -- like I 12 said, I will reach out to Heather and just kind of get 13 an idea of where she's at. But -- but -- but that's why 14 I just wanted to -- to have this conversation with you 15 to see, well, these are thoughts that I have to move 16 this forward. What do you think? And let's -- let's see 17 if we can come up with a path forward on this. MR. STRICKLAND: Has Tony communicated 18 19 anything to you about a desire to resolve this? 20 MR. ISHIDA: Oh, I think I -- I haven't talked 21 to Tony in a while. But -- but that -- in the 22 beginning, that was -- that was made very clear to me.

MR. STRICKLAND: Yeah. And so I'll tell you a little story that's happened, you know, kind of just in this interim period, where Caryn's been doing remote work. You know, there — there was this issue, and it's — it's natural in any kind of office environment, your computer needs updates.

And, you know, the IT people had contacted Caryn about bringing her computer into the office in order to have those updates done. And obviously, that's a concern. That's why she's on remote work, is because of the -- the issues with going into that office with the first assistant still being there.

And Caryn had gone through kind of working with -- with the IT people there, and had been told that those issues were resolved, her computer was updated, everything like that. Another IT person subsequently came back and said, oh, actually, no, you -- you have to bring it in to have all the updates done completely.

And at that point, Caryn e-mailed Tony. And said, you know, how do we resolve this, you know, so that, you know, I'm safe? I -- you know, I can get you

1	CERTIFICATE OF TRANSCRIBER
2	I, Chris Naaden, a transcriber, hereby declare
3	under penalty of perjury that to the best of my ability
4	from the audio recordings and supporting information;
5	and that I am neither counsel for, related to, nor
6	employed by any of the parties to this case and have no
7	interest, financial or otherwise, in its outcome, the
8	above 35 pages contain a full, true and correct
9	transcription of the tape-recording that I received
10	regarding the event listed on the caption on page 1.
11	
12	I further declare that I have no interest in
13	the event of the action.
14	
15	
16	
17	February 10, 2023
18	Chris Naaden
19	
20	
21	
22	